



#### Developing Agent-Based Distributed Cooperative Vehicle-Infrastructure Systems in the Connected and Automated Vehicle Environment

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# **INTRODUCTION AND BACKGROUND**







#### Introduction



105/110 freeway interchange, Los Angeles, CA Source: Google Map







#### Introduction

**Issues of current transportation systems:** 

- Safety 37,461 people perished in traffic accidents in the U.S. in 2016
- Mobility 41 hrs/yr/driver are spent by U.S. drivers in traffic during peak hours in 2017, costing nearly \$305 billion in total
- Environmental sustainability 11.7 billion gallons of fuel were wasted worldwide due to traffic congestion in 2015



Car crash in Moscow, Russia





Traffic jam in Chongqing, China





#### Introduction

# Helping solve the issues by cooperative vehicle-infrastructure systems with connected and automated vehicles







#### **Research Background**

#### • Generalized connected and automated vehicle (CAV) system







#### **Research Background**

• Longitudinal cooperative automation of CAVs using V2X communication

	Extent of Wo	ork using CAVs	Potential Benefit to Transportation Systems			
	Theoretical Work	Experimental Work	Safety Benefit	Mobility Benefit	Environment Benefit	
A. Cooperative adaptive cruise control and platooning	+++	++	*	$\star\star\star$	**	
B. Cooperative merging at highway on-ramps	++	+	**	$\star\star\star$	*	
C. Speed harmonization on highways	++	+	**	**	*	
D. Cooperative eco-driving at signalized intersections	+	-	*	**	***	
E. Automated coordination at non-signalized intersections	+ +			**	*	

Number of stars denotes the extent of work conducted, and the extent of the benefits to current transportation systems





# DISTRIBUTED CONSENSUS FOR MULTI-AGENT SYSTEMS









# **Centralized and Distributed Approaches**

#### **Centralized** Approaches

- Assumptions: availability of global team knowledge, centralized planning and coordination, fully connected network
- Practical Issues: limited communication/sensing range, environmental factors

#### **Distributed Approaches**

- Features: local neighbor-to-neighbor interaction, evolve in a parallel manner
- Strengths: reduced communication/sensing requirement,
   improved scalability, flexibility, reliability, and robustness







### **Distributed Consensus for Multi-Agent Systems**

#### **Reach global/centralized agreement or consensus**

by distributed/decentralized cooperation among multiple agents





#### **Distributed Consensus Algorithms for Car Following** V2V communications **Dynamics of a connected vehicle** $\dot{r}_i(t) = v_i(t)$ $\dot{v}_i(t) = a_i(t)$ $r_i(t), v_i(t), a_i(t)$ $r_i(t), v_i(t), a_i(t)$ **First-order consensus algorithm** $v_i(t) = -\sum_{i=1}^{n} a_{ij} k_{ij} (r_i(t) - r_j(t)), \quad i = 2, ..., n, j = i - 1$

Second-order consensus algorithm

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$$a_{i}(t) = -\sum_{j=1}^{n-1} a_{ij} k_{ij} \left[ \left( r_{i}(t) - r_{j}(t) \right) + \gamma \left( v_{i}(t) - v_{j}(t) \right) \right], \qquad i = 2, \dots, n, j = i-1$$

where  $a_{ij}$  is the adjacency matrix of the associated communication graph,  $k_{ij}$  and  $\gamma$  are control gains





# COOPERATIVE ADAPTIVE CRUISE CONTROL → MOBILITY BENEFIT





- **<u>Safer</u>** than human driving by taking a lot of danger out of the equation
- Roadway <u>capacity</u> is increased due to the reduction of inter-vehicle time gap
- <u>Fuel</u> consumption and pollutant emissions are reduced due to the mitigation of unnecessary stop and go, and aerodynamic drag of following vehicles









Distributed consensus-based CACC algorithms for heterogeneous CAVs with predecessor-following

$$\begin{aligned} \dot{r}_{i}(t) &= v_{i}(t) \\ \dot{v}_{i}(t) &= -a_{ij}k_{ij}[r_{i}(t) - r_{j}\left(t - \tau_{ij}(t)\right) + l_{if} + l_{jr} + v_{j}\left(t - \tau_{ij}(t)\right)\left(t_{ij}^{g} + \tau_{ij}(t)\right)b_{i} \\ &- \left(\gamma a_{ij}k_{ij}\left[v_{i}(t) - v_{j}\left(t - \tau_{ij}(t)\right)\right] \end{aligned}$$

$$i = 2, ..., n, j = i - 1$$

$r_i(t)$	Longitudinal position of vehicle i at time t	$t_{ij}^g$	Inter-vehicle time gap
$v_i(t)$	Longitudinal speed of vehicle <i>i</i> at time <i>t</i>	l <sub>if</sub>	Length between GPS antenna to front bumper
$\dot{v}_i(t)$	Longitudinal acceleration of vehicle <i>i</i> at time <i>t</i>	l <sub>jr</sub>	Length between GPS antenna to rear bumper
a <sub>ij</sub>	( <i>i</i> , <i>j</i> )th entry of the adjacency matrix	$b_i$	Braking factor of vehicle i
$ au_{ij}(t)$	Communication delay at time <i>t</i>	$\gamma, k_{ij}$	Tuning parameter





$$\begin{cases} \dot{r}_{i}(t) = v_{i}(t) \\ \dot{v}_{i}(t) = -a_{ij}k_{ij} \begin{bmatrix} r_{i}(t) - r_{j}\left(t - \tau_{ij}(t)\right) + l_{if} + l_{jr} + v_{j}\left(t - \tau_{ij}(t)\right)\left(t_{ij}^{g} + \tau_{ij}(t)\right)b_{i} \end{bmatrix} \\ -\gamma a_{ij}k_{ij} \begin{bmatrix} v_{i}(t) - v_{j}\left(t - \tau_{ij}(t)\right) \end{bmatrix} \\ \text{velocity consensus} \\ i = 2, ..., n, j = i - 1 \end{cases}$$







• Scenario 1: Normal platoon formation







• Scenario 2: Platoon restoration from disturbances

\*A step change is applied to the velocity of the leading vehicle

\*All following vehicles are capable to take immediate responses







Merging protocol















• Feedforward control: Lookup table for control gain

Initial states  $\left(\Delta r_{ij}(t_0), v_i(t_0), v_j(t_0 - \tau_{ij}(t_0))\right)$  varies every time the algorithm is switched on by vehicles

Initial states of vehicles highly affect the convergence of the consensus algorithm

Build up a lookup table to find the optimal value of control gains with respect to different initial conditions



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#### Safety Constraint (1<sup>st</sup> priority)

Evaluated by headway overshoot

 $r_j\left(t-\tau_{ij}(t)\right)-r_i(t)>l_j, t\in[t_0,t_{consensus}]$ 

#### Efficiency Constraint (2<sup>nd</sup> priority)

Evaluated by convergence time

$$\begin{aligned} \left| r_{j} \left( t_{consensus} - \tau_{ij}(t_{consensus}) \right) - r_{i}(t_{consensus}) \right| &\leq \eta_{r} \cdot \left[ l_{j} + v_{i}(t_{consensus}) \cdot \left( t_{ij}^{g}(t_{consensus}) + \tau_{ij}(t_{consensus}) \right) \right] \\ \left| v_{j} \left( t_{consensus} - \tau_{ij}(t_{consensus}) \right) - v_{i}(t_{consensus}) \right| &\leq \eta_{v} \cdot v_{j} \left( t_{consensus} - \tau_{ij}(t_{consensus}) \right) \\ & \left| a_{i}(t_{consensus}) \right| \leq \delta_{a} \\ \left| jerk_{i}(t_{consensus}) \right| \leq \delta_{jerk} \end{aligned}$$

#### **Comfort Constraint (3<sup>rd</sup> priority)**

Evaluated by maximum acceleration/deceleration and maximum jerk

 $\Omega_{i} = \omega_{1} \cdot \max_{t \in [t_{0}, t_{consensus}]} (|a_{i}^{\max}(t)|, |d_{i}^{\max}(t)|) + \omega_{2} \cdot \max_{t \in [t_{0}, t_{consensus}]} (|jerk_{i}^{\max}(t)|, |jerk_{i}^{\min}(t)|), t \in [t_{0}, t_{consensus}]$ 





TABLE I. Settings of Simulation Scenarios

 TABLE II. SIMULATION RESULTS

	$\Delta r_{ij}(t_0)$ (m)	$v_i(t_0) \text{ (m/s)}$	$v_j \left( t_0 - \tau_{ij}(t_0) \right) (\text{m/s})$
Scenario 1	50	28	14
Scenario 2	20	16	22
Scenario 3	-30	18	10
Scenario 4	-80	4	21

	Convergence time (s)				Maximum jerk (m/s <sup>3</sup> )				
Scenario	1	2	3	4	1	2	3	4	
Wang	35.9	35.0	56.5	57.6	21.2	20.7	25.7	13.4	
van Arem	29.3	32.1	41.8	40.1	1.5	1.6	2.3	0.7	
Proposed	24.9	22.9	32.1	28.3	2.3	0.8	1.6	1.6	







# COOPERATIVE ECO-DRIVING AT SIGNALIZED INTERSECTIONS → ENVIRONMENTAL SUSTAINABILITY BENEFIT







## **Eco-Approach and Departure**

 Utilizes traffic signal phase and timing (SPaT) data to provide driver recommendations that encourage "green" approaches to signalized intersections



#### Volvo truck demo @ Carson, CA, Mar. 6, 2019





• Taking advantages of both eco-approach and departure, as well as CACC







• System Architecture









• Two vehicle types:

**Conventional vehicle and CED vehicles** 

• Two vehicle roles:

Leader and follower

• Four longitudinal controllers:

Human driver model, EAD model, IDM model, and distributed consensus model

(Reference: Wang et al, Cooperative eco-driving at signalized intersections in a partially connected and automated vehicle environment, IEEE Transactions on Intelligent Transportation Systems, 2019)





• Role and control models of CED vehicles







#### • How cooperative eco-driving system works?

1. Calculate cruising, earliest and latest time-to-arrival value

$$t_{c} = \frac{d_{1}}{v_{1}}$$

$$t_{e} = \frac{d_{1} - v_{1} \cdot \frac{\pi}{2\alpha}}{v_{lim}} + \frac{\pi}{2\alpha}, \alpha = \min\left\{\frac{2 \cdot a_{max}}{v_{lim} - v_{1}}, \sqrt{\frac{2 \cdot jerk_{max}}{v_{lim} - v_{1}}}\right\}$$

$$t_{l} = \frac{d_{1} - v_{1} \cdot \frac{\pi}{2\beta}}{v_{coast}} + \frac{\pi}{2\beta}, \beta = \min\left\{\frac{2 \cdot a_{max}}{v_{1} - v_{coast}}, \sqrt{\frac{2 \cdot jerk_{max}}{v_{1} - v_{coast}}}\right\}$$

$$\frac{Parameter}{d_{1}}$$

$$\frac{Definition}{d_{1}}$$

$$\frac{d_{1}}{current distance to the intersection}{d_{1}}$$

$$\frac{d_{1}}{current speed of vehicle}{d_{1}}$$

$$\frac{d_{1}}{v_{1}}$$

$$\frac{d_{1}}{v_{1$$

2. Run the scenario transition state machine to decide the scenario

**3.** Assign the time-to-arrival value  $t_{arr}$  to one of  $t_c$ ,  $t_e$ ,  $t_l$  based on the selected scenario

4. Propose EAD algorithm for the CED leader with respect to different scenarios







#### Scenario of vehicles:

- Vehicle 1 Cruise
- Vehicle 2 Accelerate
- Vehicle 3 Stop
- Vehicle 4 Decelerate





• Algorithms for eco-approach and eco-departure, different scenarios

$$\text{Approach} \begin{cases}
 a_{ref} = v_{d1} \cdot j_{1} \cdot \sin(j_{1}t), t \in \left[0, \frac{\pi}{2j_{1}}\right) \\
 a_{ref} = v_{d1} \cdot j_{1} \cdot \sin\left[k_{1} \cdot \left(t + \frac{\pi}{k_{1}} - t_{1}\right)\right], t \in \left[\frac{\pi}{2j_{1}}, \frac{\pi}{2j_{1}} + \frac{\pi}{2k_{1}}\right) \\
 a_{ref} = v_{d2} \cdot j_{2} \cdot \sin\left[k_{2} \cdot \left(t + \frac{\pi}{k_{2}} - t_{depart}\right)\right], t \in \left[t_{depart}, t_{depart} + \frac{\pi}{2k_{2}}\right) \\
 a_{ref} = v_{d2} \cdot j_{2} \cdot \sin\left[j_{2} \cdot \left(t - t_{depart} - \frac{\pi}{2j_{2}} - \frac{\pi}{2k_{2}}\right)\right], t \in \left[t_{depart} + \frac{\pi}{2k_{2}}, t_{depart} + \frac{\pi}{2j_{2}} + \frac{\pi}{2k_{2}}\right)$$

$$v_h = \frac{d_1}{t^{arr}}, v_{d1} = v_h - v_1, v_{d2} = v_h - v_{tar}, \text{ and } t_{depart} = \frac{d_2}{v_h}$$

 $\max_{i=1,2} k_i$  subject to

$$\begin{aligned} |k_{i} \cdot v_{di}| &\leq a_{max} \\ |k_{i}^{2} \cdot v_{di}| &\leq jerk_{max}, j_{i} = \frac{-\frac{\pi}{2}k_{i} - \sqrt{\left(\frac{\pi}{2}k_{i}\right)^{2} - 4k_{i}^{2} \cdot \left[\left(\frac{\pi}{2} - 1\right) - \frac{d_{i}}{v_{h}} \cdot k_{i}\right]}}{2\left[\left(\frac{\pi}{2} - 1\right) - \frac{d_{i}}{v_{h}} \cdot k_{i}\right]}, (i = 1, 2) \end{aligned}$$

2. Stop scenario

$$v_h = \frac{v_1}{2}$$
,  $k_i = j_i = \frac{v_h}{d_i} \cdot \pi$ , and  $t_{depart} = t_{next\_s}$ 

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- Microscopic traffic simulation in pure CAV environment





Microscopic traffic simulation study is conducted based on the University Avenue corridor in Riverside, CA,

with realistic traffic data provided by City of Riverside







• Microscopic traffic simulation in mixed traffic environment







• Microscopic traffic simulation running in PTV VISSIM (3D mode)







#### • Simulation results

Scenario	Vehicle Composition	Ene	rgy	NO <sub>X</sub>		HC		СО		CO <sub>2</sub>	
(1)	0% CED & 100% Conventional	3924.2 kJ/km		0.051 g/km		0.015 g/km		1.394 g/km		284.9 g/km	
(2)	0% CED & 100% EAD-Only	3737.5 kJ/km		0.044 g/km		0.013 g/km		1.254 g/km		271.3 g/km	
	Reductions ratio with respect to	Sce.(1)	Sce.(2)	Sce.(1)	Sce.(2)	Sce.(1)	Sce.(2)	Sce.(1)	Sce.(2)	Sce.(1)	Sce.(2)
(3)	10% CED & 90% Conventional	-0.3%	-5.4%	7.3%	0.0%	7.8%	-1.2%	7.9%	6.1%	-0.3%	-5.4%
(4)	20% CED & 80% Conventional	-3.1%	-8.2%	14.6%	7.9%	15.4%	7.1%	16.0%	6.1%	-3.1%	-8.2%
(5)	30% CED & 70% Conventional	-4.0%	-9.2%	20.7%	14.5%	21.8%	14.2%	22.5%	-2.3%	-4.0%	-9.2%
(6)	40% CED & 60% Conventional	-12.0%	-17.6%	25.6%	19.7%	26.2%	19.0%	27.8%	6.7%	-12.0%	-17.6%
(7)	50% CED & 50% Conventional	-6.5%	-11.8%	33.3%	28.1%	34.4%	28.0%	35.5%	13.9%	-6.5%	-11.8%
(8)	60% CED & 40% Conventional	-3.1%	-8.2%	37.9%	33.0%	39.6%	33.8%	40.9%	19.8%	-3.1%	-8.2%
(9)	70% CED & 30% Conventional	-0.9%	-5.9%	42.5%	38.0%	44.3%	38.9%	45.2%	28.3%	-0.9%	-5.9%
(10)	80% CED & 20% Conventional	3.9%	-0.9%	46.9%	42.8%	49.2%	44.3%	49.8%	34.3%	3.9%	-0.9%
(11)	90% CED & 10% Conventional	6.5%	1.8%	49.9%	46.0%	51.0%	46.2%	54.5%	39.1%	6.5%	1.8%
(12)	100% CED	7.1%	2.5%	54.6%	51.1%	56.7%	52.5%	59.0%	44.3%	7.1%	2.5%





# COOPERATIVE MERGING AT HIGHWAY ON-RAMPS → SAFETY BENEFIT



- Drawbacks of traditional on-ramp merging systems
  - Obstructed vision of drivers
  - Late merging decision

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- Extreme speed changes





(CA-60 WB, Main St. On-Ramp, Riverside, CA)





- Cooperative merging at highway on-ramps
  - Take advantage of V2V and I2V communication
  - Adopt "ghost vehicle" concept
  - Complete longitudinal formation before merging



(Reference: Wang et al, Cooperative ramp merging system: Agent-based modeling and simulation using game engine, SAE International Journal of Connected and Automated Vehicles, 2019)





• System Architecture







• System Workflow





• Simulation in game engine Unity

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Video captured during simulation



#### **Key steps during the simulation** 45





- Compare with human-in-the-loop simulation
- 4 different drivers contribute 20 simulation runs on the driving simulator





Time(s)





- Savings in terms of travel time, energy consumption, and pollutant emissions
- Calculated for all 7 vehicles in the network

	Travel time (s)	Energy (KJ)	HC (g)	CO (g)	CO <sub>2</sub> (g)	NOx (g)
Cooperative merging	218.14	9154.0	0.0094	1.1737	651.29	0.0440
Human-in-the-loop	233.58	9930.6	0.0200	2.8192	706.54	0.0759
Reduction percentage	6.6%	7.8%	53.0%	58.4%	7.8%	42.0%





• Conducting field implementation using real vehicles









# **CONCLUSIONS AND FUTURE WORK**





### Main Contributions of the Dissertation

- Developed a high-level architecture for agent-based distributed cooperative vehicle-infrastructure systems (CVIS)
- Proposed cooperative automation applications in the CAV environment under V2V and/or I2V communication, with each of them bringing one or more benefits to the transportation system
- Developed motion control algorithms to realize the desired movements of CAVs in the proposed CAV applications, where algorithms were analyzed qualitatively and quantitatively by various simulation approaches





#### **Future Work Based on the Dissertation**

- Build a more reliable architecture for CVIS
  - Conduct fault detection/isolation regarding communication impairments or cyberattacks
  - Temporarily/smoothly switch to degraded modes of control, depending less on communication
  - Maintain string stability under special occasions
- Identify and close the gap between research and implementation
  - Theoretical research results need to be tested under various realistic conditions to identify this gap
  - Could be both labor-intensive and time-consuming
- Develop more ready-to-market CVIS with mixed traffic environment
  - CVIS that work for a pure CAV environment do not necessarily work for a mixed traffic environment, given the uncertainties introduced by other vehicle types in the environment
  - Future development of CVIS may take advantages of advanced sensing & communication technology





# **Publications Related to the Dissertation**

[1] "Cooperative Ramp Merging System: Agent-Based Modeling and Simulation Using Game Engine", *SAE International Journal of Connected and Automated Vehicles*, vol. 2, no. 2, May 2019

[2] "Cooperative Eco-Driving along Multiple Signalized Intersections in a Partially Connected and Automated Vehicle Environment", *IEEE Transactions on Intelligent Transportation Systems*, Early Access

**[3]** "Cluster-Wise Cooperative Eco-Approach and Departure Application for Connected and Automated Vehicles along Signalized Arterials," *IEEE Transactions on Intelligent Vehicles*, vol. 3, no. 4, Dec. 2018, pp. 404–413

**[4]** "Developing a Distributed Consensus-Based Cooperative Adaptive Cruise Control (CACC) System for Heterogeneous Vehicles with Predecessor Following Topology," *Journal of Advanced Transportation*, vol. 2017, Article ID 1023654, Aug. 2017

**[5]** "Lookup Table-Based Consensus Algorithm for Real-Time Longitudinal Motion Control of Connected and Automated Vehicles," *2019 American Control Conference,* Philadelphia, PA, Jul. 2019

[6] "Agent-Based Modeling and Simulation of Connected and Automated Vehicles Using Game Engine: A Cooperative On-Ramp Merging Study," *Transportation Research Board 98<sup>th</sup> Annual Meeting*, Washington D.C., Jan. 2019

**[7]** "Eco-Approach and Departure along Signalized Corridors," *Transportation Research Board* 98<sup>th</sup> Annual Meeting, Washington D.C., Jan. 2019

**[8]** "A Review on Cooperative Adaptive Cruise Control (CACC) Systems: Architectures, Controls, and Applications," *IEEE 21st International Conference on Intelligent Transportation Systems*, Maui, Hawaii, Nov. 2018

**[9]** "Distributed Consensus-Based Cooperative Highway On-Ramp Merging Using V2X Communications," *SAE Technical Paper*, 2018-01-1177, Apr. 2018





## **Publications Related to the Dissertation**

**[10]** "Cluster-Wise Cooperative Eco-Approach and Departure Application along Signalized Arterials," *IEEE 20<sup>th</sup> International Conference on Intelligent Transportation Systems*, Yokohama, Japan, Oct. 2017

**[11]** "Intra-Platoon Vehicle Sequence Optimization for Eco-Cooperative Adaptive Cruise Control," *IEEE 20<sup>th</sup> International Conference on Intelligent Transportation Systems*, Yokohama, Japan, Oct. 2017

**[12]** "Developing a Platoon-Wide Eco-Cooperative Adaptive Cruise Control (CACC) System," 2017 IEEE Intelligent Vehicles Symposium, Redondo Beach, CA, Jun. 2017

**[13]** "Developing a Distributed Consensus-Based Cooperative Adaptive Cruise Control (CACC) System," *Transportation Research Board 96<sup>th</sup> Annual Meeting*, Washington D.C., Jan. 2017

**[14]** "A Survey on Cooperative Longitudinal Motion Control of Multiple Connected Automated Vehicles," IEEE Intelligent Transportation Systems Magazine, under review

**[15]** "Human Factor Modeling of Driver Speed Assistance using Game Engine: A Learning-Based Approach," IEEE Transactions on Intelligent Vehicles, under review

**[16]** "Recent Field Implementation Results of a Heavy-Duty Truck Connected Eco-Driving System," *IEEE 22<sup>nd</sup> International Conference on Intelligent Transportation Systems*, Auckland, New Zealand, Oct. 2019, under review

**[17]** "The State-of-the-Art of Coordinated Ramp Control with Mixed Traffic Conditions," *IEEE 22<sup>nd</sup> International Conference on Intelligent Transportation Systems*, Auckland, New Zealand, Oct. 2019, under review





### **Publications Related to the Dissertation**

- **Published**: 4 journal articles, 9 conference proceedings (11/13 as the first author)
- Under review: 2 journal articles, 2 conference proceedings, 4 U.S. patents
- **Reviewed** more than 50 journal articles and conference proceedings as a reviewer
- Thanks for the hard work from all the co-authors:









**UC Riverside** 











**Tsinghua University** 





**Toyota Motor North America** 





**Volvo Group North America** 





#### Acknowledgement

• Thanks for the support from all members of TSR at CE-CERT

